**GLOBAL COMPLIANCE INSTITUTE**

# BROADCASTING THE KNOWLEDGE OF COMPLIANCE AND AML TO THE GLOBE

GCI is a global training and certification body, based in Australia. We specialise in Compliance and combatting Financial Crime, including Anti-Money Laundering and Counter-Terrorism Financing, in addition to KYC, Sanctions and Embargoes, Regulatory Compliance Management, FATCA and CRS.

www.gci-ccm.org

# WELCOME TO GCI

**We are a leading provider of accredited, certified banking training, ensuring that our students have a trusted partner they can count on throughout their banking career.**

## Best Qualifications

Our training services provide our students with the qualifications they need to achieve a successful career. We use proven teaching techniques like the Know-How Concept, along with comprehensive examination processes, to ensure that every student is well-educated and well-prepared for their role in the banking industry.

## Leading Institute

We provide the most comprehensive, modern, practical study guides that keep pace with our evolving industry — all available in a wide range of languages representing almost every country in the world.
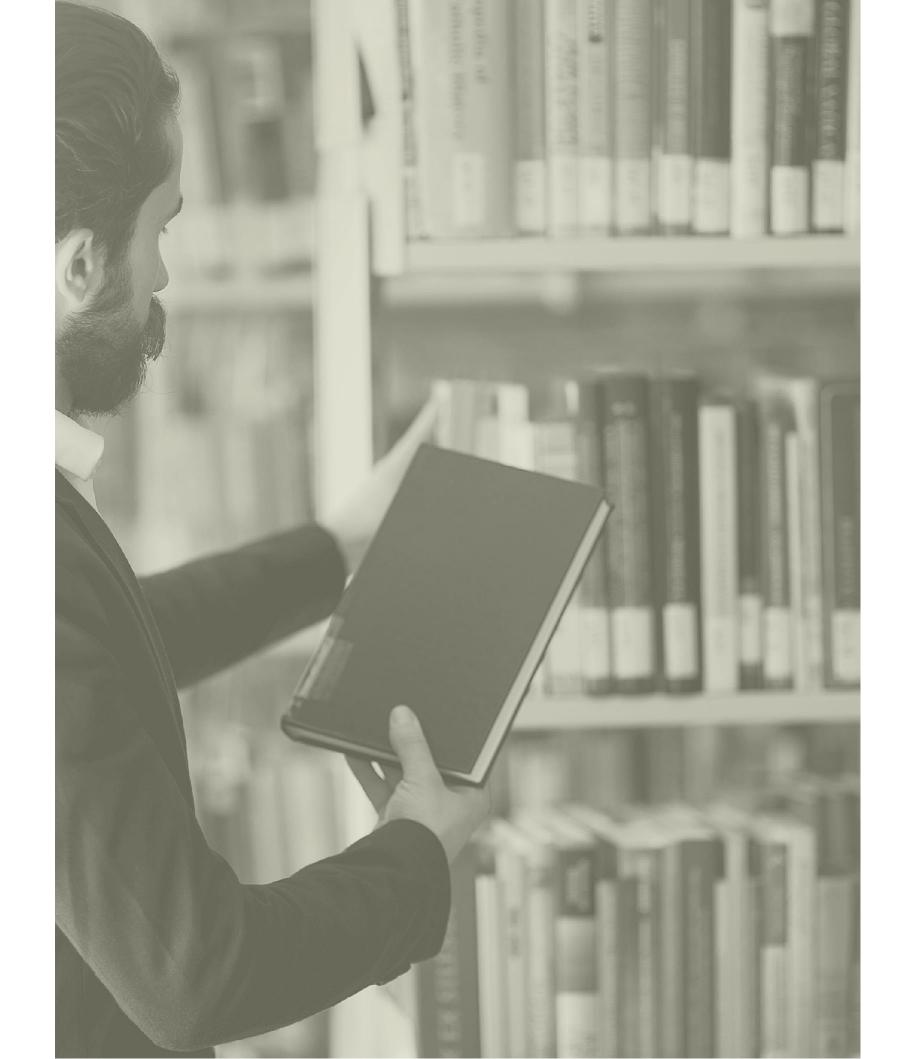
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# ABOUT US

Global Compliance Institute (GCI) is a global training and certification body based in Australia, broadcasting the knowledge of compliance and anti-money laundering to the world. We help you build the practical skills you need to keep up with all the modern industry and technology developments of the ever-evolving banking industry. We specialize in compliance and financial crimes, in addition to a variety of other related subjects:

• **Customer Onboarding and Know Your Customer (KYC)**

• **Anti-Money Laundering and Counter-Terrorism Financing (AML & CTF)**

• **Advanced AML Investigations**

• **International Sanctions and Embargoes**

• **Regulatory Compliance Management**

• **FATCA & CRS**

We cover a range of vital topics, including the update of data electronically (EKYC), digital identity, Standardized KYC, virtual currencies such as Bitcoin, risk-based money laundering and compliance management, systems features in the field of compliance and financial crimes, assessing the level of protection provided by your name-screening systems and much more. Our study guides focus on the Know-How Concept, surpassing all other traditional books, guides and training materials. Just a few examples of our subject matter include how to calculate customer anti-money laundering risks, how to build effective, risk-based anti-money laundering methodology, how to build transaction monitoring scenarios, how to calculate inherent and residual risks for regulatory management and so much more. We also provide corporate services, including training, eLearning, consultation and more.

# CERTIFICATIONS

**CERTIFIED COMPLIANCE MANAGER** CCM

CCM is considered the most advanced, comprehensive certificate in the field of compliance and anti-money laundering.

Compliance and AML Specialists around the globe need a complete working knowledge of every aspect of the compliance role, in order to gain experience and build their career, which is why our training covers the following:

1. **Customer Onboarding and KYC**
2. **Anti-Money Laundering (AML) and Counter-Terrorism Financing (CTF)**
3. **Advanced AML Investigations**
4. **International Sanctions and Embargoes**
5. **Regulatory Compliance Management**
6. **FATCA & CRS**

Our CCM training is based on the comprehensive, highly effective Know-How Concept.
Our CCM study guide is practical and user-friendly, utilizing modules that organize the information in a highly intuitive way for easy learning.

Our CCM Certification gives you the competitive advantage you need to attract the attention of recruitment agencies looking for Compliance and AML Managers.

Our CCM Certification gives employees of official supervisory bodies of the banking and financial sector the knowledge they need to better understand the Compliance and AML business, helping them build better audit programs and supervision practices.
Our CCM Certification is recognized internationally by financial institutions, governments and regulators.

## KYCS — KNOW YOUR CUSTOMER SPECIALIST

Increase your chances for promotion or selection by banks and other Institutions by enhancing your knowledge with our Know Your Customer training.

The study guide of this valuable certificate gives you detailed practices and procedures for customer onboarding and identifying beneficial owners. It also helps you design effective internal procedures and KYC forms, while illustrating how to solve KYC-related problems with comprehensive case studies.

Our KYC training also discusses KYC updates, E-KYC, national digital identity and much more.

## AMLS — ANTI MONEY LAUNDERING SPECIALIST

Our thorough AMLS training teaches AML best practices and Transaction Monitoring Systems and procedures, all using a risk-based approach.

The study guide for this important certificate helps you better understand the real meaning of money laundering, going far beyond traditional training materials that simply discuss the stages and methods of money laundering.

Our program helps you build an AML customer risk rating system, transaction monitoring systems and scenarios, investigation best practices, how to implement an AML risk-based approach, FIU reporting and much more.

## SCS — SANCTIONS COMPLIANCE SPECIALIST

Gain the latest practical knowledge of Global Sanctions Compliance and enhance the level of protection provided by your name-screening systems.

Our study guide for this critical certificate defines the compliance controls of three distinct pillars: Processes, Systems and Reports. It also discusses the most important sanctions regulations issued by the UN, EU and OFAC.

Additionally, our program teaches you how to deal with sanctioned or high-risk countries, providing you with advice on sanctions systems, understanding violation reports, interpreting the results and much more.

## RCS — REGULATORY COMPLIANCE SPECIALIST

Our RCS Certification teaches you how to manage the regulatory compliance business, compliance scope, compliance testing and examinations, and much more.

Our study guide for this certificate discusses the scope of compliance with regulator instructions according to the laws and regulations of various countries. It also discusses the compliance department's relationship to other departments within an institution — like the legal department and the internal audit department — and how to handle large quantities of local and international regulations to determine compliance responsibility. Lastly, our study guide discusses the compliance testing and examinations process and how it differs from an internal audit review, while helping you implement a risk-based compliance approach and determine the inherited and residual risk of each regulation and how to report violations.

## FTS — FOREIGN TAX SPECIALIST

Learn all about foreign tax laws (FATCA and CRS), how to implement their standards in your institution, complete necessary reporting and much more.

Our study guide for his certificate discusses various types of agreements covered by FATCA, the consequences of noncompliance, and the forms and procedures needed to bring pre-existing and new accounts into compliance. It also provides details and important tips for the reporting stage, discusses the variance between CRS and FATCA, and much more

## GCI — GOLDEN AWARD CERTIFICATE

Our Golden Award Certificate gives you the opportunity to explore the latest compliance information with the help of our mentors around the globe. Choose a topic from our list (KYC, AML, sanctions compliance, regulator compliance or FATCA & CRS), along with other related subjects, and consult with our mentors to prepare a comprehensive white paper that will help you stand out and advance your career.

Papers discussing new technology and developments in the field of compliance are highly sought after, which increases your chances of getting an approved paper.

GCI Mentors will guide you through your journey, discussing your paper with you and offering advice on how to enhance and improve it.

Your paper will be then reviewed by our advisory board for final approval or comments.

(5,000-word minimum, excluding footnotes and bibliography. Proper citation must be included. The paper should illustrate an in-depth analysis of best practices and offer author opinions that substantively contribute to the information put forth.)

**Prerequisite**

Candidates must be CCM certified in order to submit an application for this certificate.

**Benefits:**

Approval may lead to a number of opportunities, including becoming a GCI Mentor and/or an accredited trainer for CCM or other GCI certificates, as well as the chance to speak at one of GCI's conferences, seminars or webinars.

**Timeline:**

Your paper must be submitted within 90 days of acceptance of your application.

# Why Become a GCI Member ?

**Certificate Validation**

• Your GCI membership allows you to download a copy of your E-Certificate at no cost. GCI can also send it directly to your employer at any time.

**Free Delta Exams**

• As a GCI member, you'll be automatically updated with the latest versions of your certificate's study guide, and you can take the corresponding Delta exam for free.

• Note: Your current certificate will always be valid. You'll never have to pay outrageous fees for a recertification exam. This service simply allows you to stay up-to-date on the latest information in a way that's convenient and absolutely free.

**Networking**

• Receive exclusive member invitations to GCI webinars seminars and events, connecting you with the respected compliance professionals across the globe. You also increase your chances to join any of the GCI regional or local chapters, to enhance compliance and counter financial crimes through training, consultation, webinars and seminars. This experience can help you become a regional expert and build a local board of GCI professionals in your area.

**Calendar of Training Events**

**• GCI Members can access many member-only events, and receive calendar training events around the globe.**



**Corporate**

# Why Us?

Financial and non-financial institutions alike need robust Compliance and AML programs that decrease vulnerability to money laundering and financial crimes through effective systems, policies, practices and training. Businesses of all kinds face many challenges when launching a new product or service overseas, and many of them don't know the Compliance and AML risks, or what controls should be in place — especially when they don't understand the financial systems of their target countries or which laws and regulations they need to be aware of. Businesses place a high priority on protecting their reputations, maintaining quality relationships with other institutions and ensuring they aren't exposing themselves to fines or sanctions. All this and more explains why knowledgeable Compliance and AML experts are in such high demand. GCI understands this, which is why we're committed to providing a wide range of corporate services for a variety of entities in both the public and private sectors, including:

**• Training**

**• E-learning**

**• Consulting**

**• Access to experienced advisors from all over the globe**

**• Building trusted partnerships that provide a variety of**

**benefits and advantages.**

# CONSULTING SERVICES

Is your firm looking for a prestigious company with qualified consultants to help implement a Compliance and AML program, including P&P, systems, resources, staffing, training and other services? GCI provides all of this and more. Here are some of the consulting services we provide:

- Helping companies establish new compliance programs or develop existing programs. Starting with Policies & Procedures, KYC Forms, Systems, and Training
- Applying our "Know-How Concept" to the latest laws, regulations, and best practices.
- Providing auditing services that identify gaps and weaknesses. Helping to build a corrective action plan with continuous follow-ups, that bring your firm into the green zone, by providing recognised documentation regarding your AML/CTF controls.
- Providing advice on which systems and software your institution should have, and helping you choose the best options on the market, to suit your needs.
- Building an institutional training plan, and providing you with the required resources, including lecturers, speakers, and e-learning services.
- Bespoke projects
- GCI provides services to various types of entities, acting as a trusted partner for both the public and private sectors in their mission for thorough, comprehensive compli- ance.

# E-LEARNING SERVICES

Enhancing your staff's knowledge and experience is one of the main elements of the Compliance and AML program. We innovate e-solutions that help you find unique and effective training experiences through our expertise in:

• **Content Development**

• **Instructional Design**

• **Video Production**

• **Customised e-Learning Courses**

• **GCI provides services to various types of entities, acting as a trusted partner for both the public and private sectors in their mission for thorough, comprehensive compliance.**

# OUR EXAMINATION PROCESS

## Candidates have two options, when taking the exam:

### Option 1:

Self-Study. Take the online proctored exams at home

GCI's examination process is set to the highest standards, allowing the candidate to easily register and receive their certificate Study Guide (e-book) in their selected language.

Once the candidate feels ready, they can schedule the exam according to their availability.

Once ready, GCI will provide the candidate with a token allowing them to access the exam at the scheduled date and time.

### Option 2:

Get the help of an accredited Training and Testing Center

The candidate can choose the nearest GCI test center through our website and visit it to receive help, whether by attending a class training program, taking the assessment at their facility or both.

# CERTIFIED COMPLIANCE MANAGER

**CCM**